# Curves and Surfaces 

Chapter 9

## Surface and Curve Representation

- Modeling existing objects

Mathematical descriptions may be unavailable

- Creating models from scratch

Perhaps from empirical data from scientific experiments

## Polygon Mesh

- A set of connected planar surfaces

- Solids are bounded by polygon meshes
- Curved surfaces are only approximated by polygon meshes


## Parametric Equations

## Parametric Polynomial Curves

- Three polynomials with parameter $t$
- Coefficients of the polynomial determine the path of the curve
- Cubic polynomials are ideal
- Generally referred to as cubic curves


## Polynomial Surface Patches

- Define the coordinates of points on a curved 3D surface
- Bivariate polynomials are used
- Generally referred to as bicubic patches


## Quadric Surfaces

Useful for representing regular shapes

- Spheres
- Ellipsoids
- Cylinders


## Polygon Meshes

- Collection of edges, vertices, are polygons
- Each edge is shared by at most two polygons
- An edge connects two vertices
- Every edge is part of some polygon


## Representing Polygon Meshes

- Polygon meshes can be represented several ways
- There are advantages and disadvantages of each of representation
- Time vs. space trade-offs
- Application determines the best representation


## Typical Polygon Operations

- Finding all edges incident to a vertex
- Finding the polygons sharing an edge
- Finding the polygons sharing a vertex
- Finding the vertices connected by an edge
- Displaying the mesh
- Checking the mesh's consistency


## Explicit Representation

- Each polygon is represented by a list of vertex coordinates

$$
\left\{\left(x_{0}, y_{0}, z_{0}\right),\left(x_{1}, y_{1}, z_{1}\right), \ldots,\left(x_{n}, y_{n}, z_{n}\right)\right\}
$$

- Vertices are stored in traversal order
- Edges exit between successive vertices in the list
- Good for simple polygons
- Space efficient
- Bad for polygon meshes
- Shared vertices are duplicated
- Shared edges and vertices are not explicitly represented


## Explicit Representation (cont.)

What happens if we attempt to drag a vertex interactively?

- We must find all polygons that share this vertex
- Therefore we must compare the vertices of one polygon with those of all the other polygons
- $n \log n$ at best, and other problems make this impractical


## Mesh Vertex List

- Each vertex is stored only once in a list
- A polygon is defined by a list of indices (pointers) into the vertex list
- Advantages
- Vertices not duplicated
- Vertex coordinates can be easily changed
- Disadvantages
- Hard to find polygons that share an edge
- Shared edges are drawn twice


## Mesh Edge List

- Has a vertex list (like vertex list representation)
- Also has an edge list
- Edge list stores pairs of vertices the define that edge
- Edge list also stores up to two polygons that use that edge
- Redundant clipping, transformation, and scan conversion are avoided
- Filled polygons are easily displayed


## Parametric Cubic Curves

- Express curve as a function of $x, y$, and $z$ :

$$
\begin{aligned}
& x=x \\
& y=f(x) \\
& z=g(x)
\end{aligned}
$$

- Problem
- Infinite slope at some points of the curve
(infinity is tough to represent)
- Plotting the curve smoothly requires that the slope be computed


## Parametric Equations

As a function of $t$ the slopes are replaced with tangent vectors that need never be infinite

$$
\begin{aligned}
x(t)= & a_{x} t^{3}+b_{x} t^{2}+c_{x} t+d_{x} \\
y(t)= & a_{y} t^{3}+b_{y} t^{2}+c_{y} t+d_{y} \\
z(t)= & a_{z} t^{3}+b_{z} t^{2}+c_{z} t+d_{z} \\
& 0 \leq t \leq 1
\end{aligned}
$$

## Tangent Vectors

Shown for $x(t)$, but works similarly for $y(t), z(t)$
Find derivative with respect to $t$

$$
\frac{d x}{d t}=3 a_{x} t^{2}+2 b_{x} t+c_{x}
$$

Three derivatives form the tangent vector
Slopes of the curves are ratios of the tangent vectors

$$
\begin{gathered}
\frac{d y}{d x}=\frac{d y / d t}{d x / d t} \\
\frac{d x}{d z}=\frac{d x / d t}{d z / d t} \\
\quad \text { etc } \ldots
\end{gathered}
$$

## Why Cubic Curves?

- No lower order representation of curve segments can provide continuity of position and slope where curve segments meet and at the same time ensure the ends of the curve segment pass through the specified points
- Lowest order representation that can describe a non-planar curve (required for 3D curves)
- Higher order parametric curves produce undesirable wriggles


## Hermite Form of a Cubic


$P_{1} \quad P_{4}$ are points
$R_{1} \quad R_{4}$ are tangent vectors


## Hermite Math (1)

$$
\begin{aligned}
x(0) & =P_{1_{x}} \\
x(1) & =P_{4_{x}} \\
x^{\prime}(0) & =R_{1_{x}} \\
x^{\prime}(1) & =R_{4_{x}}
\end{aligned}
$$

Subscript refers to $x$ coordinate of point or tangent vector
Need to find $a_{x}, b_{x}, c_{x}$, and $d_{x}$

## Hermite Math (2)

$$
x(t)=\left[\begin{array}{llll}
t^{3} & t^{2} & t & 1
\end{array}\right] \cdot\left[\begin{array}{l}
a \\
b \\
c \\
d
\end{array}\right]_{x}=\left[\begin{array}{llll}
t^{3} & t^{2} & t & 1
\end{array}\right] C_{x}=T C_{x}
$$

$C_{x}$ is the column vector of coefficients of $x(t)$
$T$ is the row vector of powers of $t$

## Hermite Math (3)

Conditions (1) expressed by (2):

$$
x(0)=P_{1_{x}}=\left[\begin{array}{llll}
0 & 0 & 0 & 1
\end{array}\right] C_{x}
$$

$$
x(1)=P_{4_{x}}=\left[\begin{array}{llll}
1 & 1 & 1 & 1
\end{array}\right] C_{x}
$$

## Hermite Math (4)

To get the tangent vectors, differentiate (2)

$$
\begin{aligned}
& x^{\prime}(t)=\left[\begin{array}{llll}
3 t^{2} & 2 t & 1 & 0
\end{array}\right] C_{x} \\
& x^{\prime}(0)=R_{1_{x}}=\left[\begin{array}{llll}
0 & 0 & 1 & 0
\end{array}\right] C_{x} \\
& x^{\prime}(1)=R_{4_{x}}=\left[\begin{array}{llll}
3 & 2 & 1 & 0
\end{array}\right] C_{x}
\end{aligned}
$$

## Hermite Math (5)

Combine (3) and (4) to get

$$
\left[\begin{array}{l}
P_{1} \\
P_{4} \\
R_{1} \\
R_{4}
\end{array}\right]=\left[\begin{array}{llll}
0 & 0 & 0 & 1 \\
1 & 1 & 1 & 1 \\
0 & 0 & 1 & 0 \\
3 & 2 & 1 & 0
\end{array}\right] C_{x}
$$

## Hermite Math (6)

Invert the $4 \times 4$ matrix from (5) and multiply it to both sides of (5)

$$
C_{x}=\left[\begin{array}{cccc}
2 & -2 & 1 & 1 \\
-3 & 3 & -2 & -1 \\
0 & 0 & 1 & 0 \\
1 & 0 & 0 & 0
\end{array}\right]\left[\begin{array}{c}
P_{1} \\
P_{4} \\
R_{1} \\
R_{4}
\end{array}\right]=M_{h} G_{h_{x}}
$$

$M_{h}$ is called the Hermite matrix
$G_{h}$ is called the Hermite geometry matrix

## Hermite Math (7)

$$
\begin{aligned}
x(t) & =T M_{h} G_{h_{x}} \\
y(t) & =T M_{h} G_{h_{y}} \\
z(t) & =T M_{h} G_{h_{z}}
\end{aligned}
$$

Or, grouped together as

$$
P(t)=T M_{h} G_{h}
$$

Given $P_{1}, P_{4}, R_{1}$, and $R_{4}$, we can evaluate $x(t), y(t)$, and $z(t)$ for $0 \leq t \leq 1$ and find all the points on the cubic curve.

$$
T M_{h}=\left[\begin{array}{llll}
\left(2 t^{3}-3 t^{2}+1\right) & \left(-2 t^{3}+3 t^{2}\right) & \left(t^{3}-2 t^{2}+t\right) & \left(t^{3}-t^{2}\right)
\end{array}\right]
$$

## Hermite Math (8)

$$
\begin{aligned}
T M_{h}= & {\left[\begin{array}{lll}
\left(2 t^{3}-3 t^{2}+1\right) & \left(-2 t^{3}+3 t^{2}\right) & \left(t^{3}-2 t^{2}+t\right) \\
\left(t^{3}-t^{2}\right)
\end{array}\right] } \\
x(t)= & T M_{h} G_{h_{x}} \\
= & P_{1_{x}}\left(2 t^{3}-3 t^{2}+1\right)+P_{4_{x}}\left(-2 t^{3}+3 t^{2}\right)+R_{1_{x}}\left(t^{3}-2 t^{2}+t\right) \\
& +R_{4_{x}}\left(t^{3}-t^{2}\right)
\end{aligned}
$$

The four terms are called four "blending functions"
The first two blend $P_{1}$ and $P_{4}$
The second two blend $R_{1}$ and $R_{4}$

## Bezier Form

- Similar to Hermite form but differs in definition of tangent vectors
- Four points are used



## Difference from Hermite

Tangent vectors of the endpoints e determined by the lines $\overline{P_{1} P_{2}}$ and $\overline{P_{3} P_{4}}$.
$R_{1}$ and $R_{4}$ of the Hermite form are related to the Bezier points $P_{1}, P_{2}, P_{3}$, and $P_{4}$ by:

$$
\begin{aligned}
& R_{1}=3\left(P_{2}-P_{1}\right)=P^{\prime}(0) \\
& R_{4}=3\left(P_{4}-P_{3}\right)=P^{\prime}(1)
\end{aligned}
$$

## Bezier Geometry Matrix

$$
G_{h}=\left[\begin{array}{c}
P_{1} \\
P_{4} \\
R_{1} \\
R_{4}{ }_{x}
\end{array}\right]=\left[\begin{array}{cccc}
1 & 0 & 0 & 0 \\
0 & 0 & 0 & 1 \\
-3 & 3 & 0 & 0 \\
0 & 0 & -3 & 3
\end{array}\right]\left[\begin{array}{c}
P_{1} \\
P_{2} \\
P_{3} \\
P_{4}
\end{array}\right]=M_{h b} G_{b}
$$

## Bezier Matrix

Recall $x(t)=T M_{h} G_{h_{x}}$

$$
x(t)=T M_{h} G_{h_{x}}=T M_{h} M_{h b} G_{b_{x}}
$$

Let $M_{h} M_{h b}=M_{b}$.

$$
\begin{gathered}
x(t)=T M_{b} G_{b_{x}} \\
M_{b}=\left[\begin{array}{cccc}
-1 & 3 & -3 & 1 \\
3 & -6 & 3 & 0 \\
-3 & 3 & 0 & 0 \\
1 & 0 & 0 & 0
\end{array}\right]
\end{gathered}
$$

## Why use Bezier Form?

Why use Bezier form instead of Hermite form?

- Four control points for interactive use (moving with a mouse, for instance)
- Four control points bound
 the curve (convex hull)
- Can be used to clip the curve


## B-spline Form

- "Smoother" than the other forms
- Concept similer to draftsman's splines



## B-spline Matrix

B-spline forms:

$$
x(t)=T M_{s} G_{s_{x}}
$$

where

$$
M_{s}=\frac{1}{6}\left[\begin{array}{cccc}
-1 & 3 & -3 & 1 \\
3 & -6 & 3 & 0 \\
-3 & 0 & 3 & 0 \\
1 & 4 & 1 & 0
\end{array}\right]
$$

## B-spline Matrix

Approximate control points $P_{1}, P_{2}, \ldots, P_{n}$ by a series of B-splines.
Use a different geometry matrix between each pair of adjacent points.

$$
G_{s}^{i}=\left[\begin{array}{c}
P_{i-1} \\
P_{i} \\
P_{i+1} \\
P_{i+2}
\end{array}\right], \quad 2 \leq i \leq n-2
$$

## Interpolation

- Presented as a lead in to B-splines
- Use an array of sample points to approximate a curve
- Could use polynomial, trigonometric, or exponential functions to build a curve
- We'll use polynomial functions


## Interpolation (1)

$n$ points $\left(P_{1}, P_{2}, \ldots, P_{n}\right)$, which is shorthand for $\left(x_{1}, y_{1}, z_{1}\right), \ldots,\left(x_{n}, y_{n}, z_{n}\right)$

$$
\begin{aligned}
& x(t)=\sum_{i=1}^{n} x_{i} b_{i}(t) \\
& y(t)=\sum_{i=1}^{n} y_{i} b_{i}(t) \\
& z(t)=\sum_{i=1}^{n} z_{i} b_{i}(t)
\end{aligned}
$$

$b_{i}(t)$ are blending functions

## Blending Functions

$$
b_{i}(t)
$$

For each value of $t$ they determine how much the $i$ th sample point affects the position of the curve.

Think of each sample point as trying to pull the curve in its direction.
$b_{i}(t)$ tells how hard the $i$ th sample point is pulling.
If for some $t, b_{i}(t)=1$ and for each $j \neq i, b_{j}(t)=0$, then the $i$ th sample point has complete control over the curve. The curve therefore will pass through point $i$.

## Blending Functions (cont.)

For convenient formulation:
Let $P_{1}$ be in complete control when $t=-1$
Let $P_{2}$ be in complete control when $t=0$
Let $P_{3}$ be in complete control when $t=1$

$$
\begin{aligned}
& b_{1}(t)=1 \text { at } t=-1 \\
& b_{1}(t)=0 \text { at } t=0,1, \ldots, n-2
\end{aligned}
$$

## Blending Functions (cont.)

Consider the function:

$$
t(t-1)(t-2) \ldots(t-[n-2])
$$

At $t=-1$, it is

$$
(-1)(-2)(-3) \ldots(1-n)=c
$$

Dividing by $c$ will yield 1

$$
b_{1}(t)=\frac{t(t-1)(t-2) \ldots(t-[n-2])}{(-1)(-2)(-3) \ldots(1-n)}
$$

## Blending Functions (cont.)

In general, for $i$ :

$$
b_{i}(t)=\frac{(t+1)(t)(t-1) \ldots(t-[i-3])(t-[i-1]) \ldots(t-[n-2])}{(i-1)(i-2)(i-3) \ldots(1)(-1)(i-n)}
$$

Which is 1 at $t=i-2$ and 0 for all other integers
$n$ points $\left(P_{1}, P_{2}, \ldots, P_{n}\right) \mathrm{i}$, which is shorthand for $\left(x_{1}, y_{1}, z_{1}\right), \ldots,\left(x_{n}, y_{n}, z_{n}\right)$

## Blending Functions (cont.)

Need four blending functions for four points:

$$
\begin{aligned}
& b_{1}(t)=\frac{t(t-1)(t-2)}{(-1)(-2)(-3)} \\
& b_{2}(t)=\frac{(t+1)(t-1)(t-2)}{(1)(-1)(-2)} \\
& b_{3}(t)=\frac{(t+1) t(t-2)}{(2)(1)(-1)} \\
& b_{4}(t)=\frac{(t+1) t(t-1)}{(3)(2)(1)}
\end{aligned}
$$

## Blending Functions (cont.)

$$
\begin{aligned}
x(t) & =x_{1} b_{1}(t)+x_{2} b_{2}(t)+x_{3} b_{3}(t)+x_{4} b_{4}(t) \\
y(t) & =y_{1} b_{1}(t)+y_{2} b_{2}(t)+y_{3} b_{3}(t)+y_{4} b_{4}(t) \\
z(t) & =z_{1} b_{1}(t)+z_{2} b_{2}(t)+z_{3} b_{3}(t)+z_{4} b_{4}(t)
\end{aligned}
$$

The curve should lie close to the actual curve in the region of the four points, especially in the middle between $P_{2}$ and $P_{3}$.

This is where $0 \leq t \leq 1$.

## Shortcomings

The sum of the blending functions is not 1 at all values of $t$.
We've designed them to sum to 1 at integer values of $t$, not in between.
Suppose all sample points had the same $x$ value: $x=x_{0}$.
We would expect the curve to have constant values for $x$ at points in between the sample points.

## Shortcomings (cont.)

However, approximating the curve yields:

$$
x(t)=\sum_{i=1}^{n} x_{i} b_{i}(t)
$$

If $x_{i}=x_{0}$ :

$$
x(t)=x_{0} \sum_{i=1}^{n} b_{i}(t)
$$

The line may wiggle in and out of the plane.

## Shortcomings (cont.)

- Each section of the curve is connected to the next section through a point and the curves may have different slopes at the two sections
- Transition of control is not smooth at point $P_{i}$ is approached, the control of the other points is reduced to zero


## A Better Way

- A more natural approach to have a sample point control the curve is to have the blending function vary from 0 far away from the point to some maximum value (not necessarily 1) near the point
- We don't force the curve through a particular point but gently attract it towards the point
- The resulting curve will follow the general contours of the control points but may not actually pass through any of them
- The set of blending functions that achieve this and always sum to 1 are called $B$-splines

